

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Hung-Teh Kao, Paul R. Hartig, and Theresa Branchek  
Serial No.: Not Yet Known  
Filed: Herewith  
For: DNA ENCODING A HUMAN SEROTONIN (5-HT<sub>2</sub>) RECEPTOR  
AND USES THEREOF

1185 Avenue of the Americas  
New York, New York 10036  
August 14, 2001

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

**PRELIMINARY AMENDMENT AND INFORMATION DISCLOSURE STATEMENT**

Please amend the subject application as follows:

**In the Specification:**

On page 1, line 4, please insert the following paragraph:

-- This application is a continuation of U.S. Serial No. 09/145,864, filed September 2, 1998, now allowed, a divisional of U.S. Serial No. 08/613,044, filed March 8, 1996, now U.S. Patent No. 5,885,785, issued March 23, 1999, a divisional of U.S. Serial No. 08/347,591, filed November 30, 1994, now U.S. Patent No. 5,661,024, issued August 26, 1997, a continuation of Serial No. 08/232,325, filed April 25, 1994, now abandoned, a continuation of Serial No. 07/999,661, filed December 29, 1992, now abandoned, a continuation of 07/635,402, filed December 31, 1990, now abandoned, a continuation of Serial No. 07/429,832, filed October 31, 1989, now abandoned, the contents of which are

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